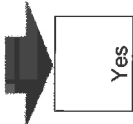
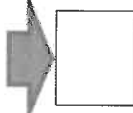
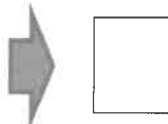
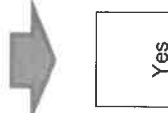


COMPLIANCE - MONITORING ON IMPLEMENTATION OF SUPPLY CHAIN MANAGEMENT IN LOCAL GOVERNMENT

NAME OF MUNICIPALITY : THEMIBISILE HANI LOCAL MUNICIPALITY

PERIOD OF REPORTING : 30 NOVEMBER 2019

REF-NUMBER	COMPLIANCE - AREA	CURRENT STATUS	CONFIRMATION OF STATUS		NUMBER OF INCIDENTS AND TOTAL EXPENDITURE	DOCUMENTARY PROOF				
			YES	NO						
1)	APPLICABLE THRESHOLD AND MINIMUM NUMBER OF QUOTATIONS In terms of Sub - regulation 12 (1) (c) of the Municipal Supply Chain Management Regulations, goods, works or services estimated in excess of R10 000,00 up to R200 000,00 (inclusive of applicable taxes), can be acquired from the relevant service-providers through the formal written price - quotations.	Were there instances of procurement of goods, works or services through the quotation system? To confirm status, mark with X on the appropriate space at the next columns.			If confirmation of status is yes, indicate the number of incidents whereby goods, works or services were acquired through the quotation method, as well as the total expenditure incurred in the process. <table border="1"> <tr> <td>INCIDENTS</td> <td>EXPENDITURE</td> </tr> <tr> <td>24</td> <td>R753 918.44</td> </tr> </table>	INCIDENTS	EXPENDITURE	24	R753 918.44	If confirmation of status is yes, provide information in line with Annexure A.
INCIDENTS	EXPENDITURE									
24	R753 918.44									
2)	APPLICABLE THRESHOLD FOR COMPETITIVE BIDS According to the provisions of Sub - regulation 12 (1) (d) (i) and (ii) of the Municipal Supply Chain Management Regulations, the acquisition of goods, works or services estimated in excess of R200 000,00 (inclusive of applicable taxes) and the arrangement of long term contracts, should be by way of the competitive bidding process.	Were there instances of procurement of goods, works or services through competitive bidding? To confirm status, mark with X on the appropriate space at the next column.			If confirmation of status is yes, indicate the number of incidents whereby goods, works or services were procured through the competitive bidding process, as well as the total price for all projects involved. <table border="1"> <tr> <td>INCIDENTS</td> <td>EXPENDITURE</td> </tr> <tr> <td>1</td> <td>No expenditure incurred yet (appointed on</td> </tr> </table>	INCIDENTS	EXPENDITURE	1	No expenditure incurred yet (appointed on	If confirmation of status is yes, provide information in line with Annexure B.
INCIDENTS	EXPENDITURE									
1	No expenditure incurred yet (appointed on									

PERIOD OF REPORTING : 30 NOVEMBER 2019

REF -NUMBER	COMPLIANCE AREA	CURRENT STATUS	CONFIRMATION OF STATUS		NUMBER OF INCIDENTS AND TOTAL EXPENDITURE	DOCUMENTARY PROOF				
			YES	NO						
3)	DEVIATION FROM NORMAL PROCUREMENT PROCESS In terms of Sub - regulation 36(1)(a)(i)-(v) of the Municipal Supply Chain Management Regulations, municipalities may dispense with the normal procurement processes and procure goods, works or services by any means, which may include direct negotiations, but only in an emergency ;if such goods, works or services are produced or available from a single service - provider only; for acquisition of special works of art or historical objects where specifications are difficult to compile; acquisition of animals for zoos; or in any other exceptional case where it is impractical or impossible to follow the	Were there any incidents of deviation from the normal procurement process during the period of reporting? To confirm status, mark with X on the appropriate space at the next columns.	 Yes		If confirmation of status is yes, indicate the number of incidents of deviation from the normal procurement process and the total expenditure incurred. <table><tr><td>INCIDENTS</td><td>EXPENDITURE</td></tr><tr><td>6</td><td>R 1 603 915.51</td></tr></table>	INCIDENTS	EXPENDITURE	6	R 1 603 915.51	If confirmation of status is yes, provide information in line with Annexure C.
INCIDENTS	EXPENDITURE									
6	R 1 603 915.51									

COMPLIANCE - MONITORING ON IMPLEMENTATION OF SUPPLY CHAIN MANAGEMENT IN LOCAL GOVERNMENT

NAME OF MUNICIPALITY : THEMBSILE HANI LOCAL MUNICIPALITY

PERIOD OF REPORTING : 30 NOVEMBER 2019

normal processes.	procurement					
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REF-NUMBER	COMPLIANCE - AREA	CURRENT STATUS	CONFIRMATION OF STATUS		NUMBER OF INCIDENTS AND TOTAL EXPENDITURE	DOCUMENTARY PROOF
			YES	NO		
4)	RATIFICATION OF MINOR OF PROCUREMENT PROCESSES In terms of Sub - regulation 36 (1) (b) of the Municipal Supply Chain Management Regulations, Accounting Officers at municipalities may ratify any minor breaches of the procurement processes by an official or Committee acting in terms of delegated powers or duties which	Were there instances of ratification of any minor breaches of the procurement process by an official or Committee, acting in terms of delegated powers or duties which are purely of technical nature? To confirm status, mark with X on the appropriate space at the next columns.	 ☐	 ☐ No	If confirmation of status is yes, indicate the number of incidents whereby the Accounting Officer of the municipality ratified minor breaches of procurement processes carried out by officials or Committees, acting through delegation, as well as the total expenditure incurred in the process. INCIDENTS ➔ EXPENDITURE	If confirmation of status is yes, provide information in line with Annexure D. - Service rendered per case; - Appointed service-provider per case; - price paid per service rendered; - BBBEE rating of appointed service-providers; - order numbers;

COMPLIANCE - MONITORING ON IMPLEMENTATION OF SUPPLY CHAIN MANAGEMENT IN LOCAL GOVERNMENT
NAME OF MUNICIPALITY : THEMBSILE HANI LOCAL MUNICIPALITY
PERIOD OF REPORTING : 30 NOVEMBER 2019

	are purely of technical nature.					0	R.....	- recorded reasons for ratification; and - approved documentary proof for incident(s) being reported to Council
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REF. NUMBER	COMPLIANCE - AREA	CURRENT STATUS	CONFIRMATION OF STATUS		NUMBER OF INCIDENTS AND TOTAL EXPENDITURE	DOCUMENTARY PROOF
			YES	NO		
5)	PARTICIPATION ON OTHER CONTRACTS OF ORGANS OF STATE In terms of Sub regulation 32(1) (a) to (d) of the Municipal SCM Regulations, municipalities may procure goods, works or services using contracts of other organs of State, only if such contract have	Were there incidents of participation on contracts arranged by other organs of State, in terms of the provisions of Sub - regulation 32(1) (a) to (d)? For confirmation of status, mark with X on the appropriate space at the next columns.	 Yes	 	If confirmation on status is yes, indicate the number of incidents of participation on contracts arranged by other organ(s) of State and the total expenditure incurred in the process. INCIDENTS EXPENDITURE	If confirmation of status is yes, provide information, as captured on Annexure E.

PERIOD OF REPORTING : 30 NOVEMBER 2019

[illegible]

REF-NUMBER	COMPLIANCE - AREA	CURRENT STATUS	CONFIRMATION OF STATUS	NUMBER OF INCIDENTS AND TOTAL EXPENDITURE	DOCUMENTARY PROOF
			YES	NO	
6)	MODIFICATION AND OF EXPANSION OF CONTRACTS In terms of Circular 62 of 2013 from National Treasury, Accounting Officers of Municipalities and Municipal Entities may approve expansion of contracts or variations by not more than 20% (including applicable taxes) for construction	Were there incidents of approval of expansion or variation on any contract awarded in line with the provisions of Circular MFMA 62 of 2013, issued by the National Treasury? For confirmation of status, mark with X on the appropriate space at the next columns.	 Yes		If confirmation of status is yes, indicate the number of incidents of modification or expansion of contract, and the total expenditure incurred in the process. INCIDENTS EXPENDITURE

COMPLIANCE - MONITORING ON IMPLEMENTATION OF SUPPLY CHAIN MANAGEMENT IN LOCAL GOVERNMENT
NAME OF MUNICIPALITY : THEMBSILE HANI LOCAL MUNICIPALITY
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	related goods, works and/or infrastructure projects and 15% (including applicable taxes) for all other goods, works or services of the original value of the contract. Any expansion or variation in excess of these thresholds must be dealt with in terms of section 116(3) of the Municipal Finance Management Act which provides for the amendment of contracts and also reported to Council or Board of Directors in case of a Municipal Entity for consideration.				8	R13 113 677.77
REF - NUMBER	COMPLIANCE - AREA	CURRENT STATUS	CONFIRMATION OF STATUS		NUMBER OF INCIDENT'S EXPENDITURE	AND TOTAL
			YES	NO		DOCUMENTARY PROOF
7)	CONDUCTING BUSINESS WITH TAX - COMPLIANT CONTRACTORS Municipalities and Municipal entities are required in terms of Sub - regulation 43 of the Municipal Supply Chain Management Regulations to conduct business with service-providers in good standing with SARS, in	Did the municipality at any stage conduct business with service-providers whose tax matters were not confirmed to be in good standing with the South African Revenue Service? For confirmation of status, mark with X on	 <input data-bbox="1155 1205 1235 1305" type="checkbox"/>	 <input data-bbox="1155 1003 1235 1104" type="checkbox"/> No	If confirmation of status is yes, indicate the number of incidents of conducting business with service-providers not in good standing with the South African Revenue Service, and the total expenditure incurred in the process.  NO. OF INCIDENT'S TOTAL EXPENDITURE	If confirmation of status is yes, provide information, as captured on Annexure G.

PERIOD OF REPORTING : 30 NOVEMBER 2019

[illegible]

REF - NUMBER	COMPLIANCE - AREA	CURRENT STATUS	CONFIRMATION OF STATUS		NUMBER OF INCIDENTS AND TOTAL EXPENDITURE	DOCUMENTARY PROOF
			YES	NO		
8)	CANCELLATION OF BIDS. In terms of Sub - regulation 13 of the Preferential Procurement Regulations, an organ of State may, before the award of a tender, cancel a tender invitation if : - - due to changed circumstances, there is no longer a need for the goods or services specified in the invitation;	Did the municipality at any stage cancel a tender invitation due to compelling circumstances, as provided for in terms of Sub - regulation 13 of the Preferential Procurement Regulations? For confirmation of status, mark with X on the appropriate space at the next columns.	 	 No	If confirmation of status is yes, indicate the number of incidents of cancellations emanating from circumstances as provided for in terms of Sub-regulation 13 of the Preferential Procurement Regulations.  NUMBER OF INCIDENTS	If confirmation of status is yes, provide information, as captured on Annexure H.

COMPLIANCE - MONITORING ON IMPLEMENTATION OF SUPPLY CHAIN MANAGEMENT IN LOCAL GOVERNMENT

NAME OF MUNICIPALITY : THEMBSILE HANI LOCAL MUNICIPALITY

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- funds are no longer available to cover the total envisaged expenditure; - no acceptable tender is received ; or - there is material irregularity in the tender process. The decision for the cancellation of a tender invitation in line with the circumstances indicated above, must be published in the same manner in which the original tender invitation was advertised. An organ of State may cancel a tender invitation for the second time, only if prior approval of the relevant Treasury has been sought and granted.						
REF - NUMBER	COMPLIANCE - AREA	CURRENT STATUS	CONFIRMATION OF STATUS:	YES	NO	NUMBER OF INCIDENTS AND TOTAL EXPENDITURE
9)	APPLICATION OF OBJECTIVE CRITERIA TO DETERMINE AN AWARD OF CONTRACT In terms of Section 2(1) (f) of the Preferential Procurement Policy Framework Act, when adjudicating on received offers, a contract must be awarded to the tenderer who scores the highest points, unless objective criteria, in addition to those contemplated in sub - sections (d) and (e) of the	Were there any instances of award of contracts to tenderers not scoring the highest points, as provided for in terms of Section 2(1) (f) of the Preferential Procurement Policy Framework Act? For confirmation of status, mark with X	→	→ No	If confirmation of status is yes, indicate the number of incidents of award of contracts to bidders not scoring the highest points, and the total expenditure incurred in the process.	NO. OF INCIDENTS → TOTAL EXPENDITURE If confirmation of status is yes, provide information, as captured on Annexure I.

COMPLIANCE - MONITORING ON IMPLEMENTATION OF SUPPLY CHAIN MANAGEMENT IN LOCAL GOVERNMENT

NAME OF MUNICIPALITY : THEMBSILE HANI LOCAL MUNICIPALITY

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Act, justify the award to another tenderer.	on the appropriate space at the next columns.				 R.....
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REF - NUMBER	COMPLIANCE - AREA	CURRENT STATUS	CONFIRMATION OF STATUS		NUMBER OF INCIDENTS AND TOTAL EXPENDITURE	DOCUMENTARY PROOF
			YES	NO		
10)	PROCUREMENT THROUGH UNSOLICITED BIDS In terms of Section 37(3) of the Municipal Supply Chain Management Regulations, if a municipality or municipal entity decides to consider an unsolicited bid for a project that is compliant to sub-regulation 37(2) of the Municipal Supply Chain Management	Were there any instances of procurement of goods, works or services by way of unsolicited bid? For confirmation of status, mark with X on the appropriate space at the next columns.	 	 No	If confirmation of status is yes, indicate the number of incidents for award of contracts through unsolicited bid. In addition, indicate the total price involved in the process.  NO. OF INCIDENTS TOTAL PRICE	If confirmation of status is yes, provide information, as captured on Annexure J.

COMPLIANCE - MONITORING ON IMPLEMENTATION OF SUPPLY CHAIN MANAGEMENT IN LOCAL GOVERNMENT

NAME OF MUNICIPALITY : THEMIBSILE HANI LOCAL MUNICIPALITY

PERIOD OF REPORTING : 30 NOVEMBER 2019

REF - NUMBER	COMPLIANCE - AREA	CURRENT STATUS	CONFIRMATION OF STATUS	NUMBER OF INCIDENTS AND TOTAL AMOUNT OWED TO CONTRACTED SERVICE-PROVIDERS	DOCUMENTARY PROOF
	Regulations, such decision must be made in public in accordance with section 21A of the Municipal Systems Act, together with:- - reasons why the bid should not be subjected to the normal competitive bidding process; - an explanation of the potential benefits for the consideration of unsolicited bid; and - an invitation to the public or other potential service-providers to submit their comments within thirty days of the notice.				
11)	TIMEOUS PAYMENT OF INVOICES In terms of Sub - regulation 8.2.3 of the Treasury Regulations, it is required of Government institutions to settle payment owed to contracted service - providers within thirty days after the submission of invoices.	Did the municipality at any stage fail to pay for services rendered by contracted service - providers on time (within 30 days) after receipt of invoices? For confirmation of status, mark with X on the appropriate space at the next columns.	YES NO	If confirmation of status is yes, indicate the number of invoices which were paid after 30 days and those that remained unpaid after 30 days. In addition, indicate the respective total rand values. If confirmation of status is yes, provide a copy of register for all invoices paid after 30 days and those that remained unpaid after 30 days, in the format as captured on annexures K and L respectively.	INVOICES PAID AFTER 30 DAYS INVOICES UNPAID AFTER 30 DAYS NO. OF INCIDENTS: NO. OF INCIDENTS:

PERIOD OF REPORTING : 30 NOVEMBER 2019

[illegible]

COMPILER OF INFORMATION:

2015-16

DESIGNATION:

Miss Marns

DATE:

04/12/2019

INFORMATION CERTIFIED TO BE CORRECT IN ALL RESPECT BY:

.....
CHIEF FINANCIAL OFFICER

CHIEF FINANCIAL OFFICER

DATE: 24/2/2017

INFORMATION APPROVED TO BE CORRECT IN ALL RESPECT BY:

MUNICIPAL MANAGER

DATE: 04/12/2019